



**ALABAMA DEPARTMENT OF INSURANCE**

**Preneed Division**

**201 Monroe Street, Suite 502**

**Montgomery, AL 36130-3351**

**ANNUAL INACTIVE CERTIFICATE HOLDER REPORT**

Form AICHR (12/11)

\_\_\_\_\_  
Name of Inactive Certificate Holder

\_\_\_\_\_  
Address of Inactive Certificate Holder

\_\_\_\_\_  
Telephone and E-mail Address

\_\_\_\_\_  
Date Certificate Holder Became Inactive

This report must be filed with the Alabama Department of Insurance by April 1, 2012 for the previous calendar year ending December 31, 2011.

**POST-LAW CONTRACTS ONLY**

	<u>Number</u>	<u>Gross Sales</u>
Preneed Contracts Outstanding at December 31, 2010	_____	_____
Preneed Contracts Cancelled During 2011	_____	_____
Preneed Contracts Fulfilled During 2011	_____	_____
Preneed Contracts Outstanding at December 31, 2011	_____	_____

Have any preneed contracts been written since the preneed entity became inactive? (If the answer is "Yes", please attach explanation.) Yes \_\_\_\_\_ No \_\_\_\_\_

Has the preneed entity ceased all preneed sales to the public since becoming inactive? (If the answer is "No", please attach explanation.) Yes \_\_\_\_\_ No \_\_\_\_\_

Have all funds collected on preneed contracts been handled in accordance with the preneed law and regulation? (If the answer is "No", please attach explanation.) Yes \_\_\_\_\_ No \_\_\_\_\_

**Attach Listing of All Preneed Contracts Outstanding at December 31, 2011.**

**CONTRACTS FUNDED WITH A TRUST**

If contracts were funded with a trust, who is the trustee(s)? \_\_\_\_\_

As of Dec. 31, 2011, what is the total number of all post-law preneed contracts outstanding in which the funding method is trust?: \_\_\_\_\_

	<u>Principal</u>	<u>Interest</u>
Total Balance of Trust Fund(s):	\$ _____	\$ _____

**Attach Statement of Activities of Trust Fund Provided by Trustee(s). If More Than One Trust, Attach Statement For Each Trust. Attach A Listing of All Preneed Contracts In Which The Funding Method Is Trust. The Listing Must Include The Retail Amount Of Each Contract And The Amount Held In Trust For Each Contract.**

## ANNUAL INACTIVE CERTIFICATE HOLDER REPORT

Form AICHR (12/11)

### **CONTRACTS FUNDED WITH LIFE INSURANCE**

If contracts are funded with life insurance, who is the insurance company(ies)?

---

As of Dec. 31, 2011, what is the total number of all post-law preneed contracts outstanding in which the funding method is life insurance?: \_\_\_\_\_ Face Amount \$ \_\_\_\_\_

**Attach Policy Listing(s) provided by Insurance Company(ies). Attach Listing Of All Preneed Contracts In Which The Funding Method is Life Insurance. The Listing Must Include The Retail Amount of Each Contract And Amount Of Life Insurance Funding Each Contract.**

### **CONTRACTS FUNDED WITH A LETTER OF CREDIT**

If contracts are guaranteed by a letter(s) of credit, who is the issuer of the letter(s) of credit?

---

Is the issuer of the letter of credit the same issuer as was designated previously? Yes \_\_\_\_\_ No \_\_\_\_\_  
If "No", has the new letter of credit been filed with the Insurance Department?

As of Dec. 31, 2011, what is the total number of all post-law preneed contracts outstanding in which the funding method is a letter of credit?: \_\_\_\_\_

Amount of Letter(s) of Credit: \$ \_\_\_\_\_  
Outstanding Letter(s) of Credit Liability \$ \_\_\_\_\_

**Attach Listing Of All Preneed Contracts In Which The Funding Method Is Letter of Credit. The Listing must Include The Retail Amount Of Each Contract And The Letter Of Credit Liability For Each Contract.**

### **CONTRACTS FUNDED WITH SURETY BOND**

If contracts are guaranteed by a surety bond(s), who is the issuer of the surety bond(s)?

---

Is the issuer of the surety bond the same issuer as was designated previously? Yes \_\_\_\_\_ No \_\_\_\_\_  
If "No", has the new surety bond been filed with the Insurance Department?

As of Dec. 31, 2011, what is the total number of all post-law preneed contracts outstanding in which the funding method is Surety Bond?: \_\_\_\_\_

Amount of Surety Bond(s) (Face Value): \$ \_\_\_\_\_  
Outstanding Surety Bond(s) Liability: \$ \_\_\_\_\_

**Attach Listing Of All Preneed Contracts In Which The Funding Method Is Surety Bond. The Listing must Include The Retail Amount Of Each Contract And The Surety Bond Liability For Each Contract.**

---

I hereby certify that the above information is true and correct to the best of my knowledge and belief and that I have personally reviewed those answers and information provided.

\_\_\_\_\_  
Signature of Inactive Certificate Holder

\_\_\_\_\_  
Date